# COMMON APPLICATION FORM

PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and In BLOCK LETTERS (all points marked\* are mandatory). For SIP investment use the separate SIP Form.

MUTUAL FUND

	DISTRIBUTOR INF	ORMATIC	DN		F	OR OFFICE USE ONLY
Name & ARN of Distributor / RIA Code*	Internal Sub-Broker Code (as alloted by Distributor)		Broker ode No.	Employee Unique Identification No. (EUIN)^	In-House number as per K-BOLT	Date, Time and Number as per Time Stamping Machine
ARN -	ARN -			E		
person of the above distributor/sub bro	hat the EUIN box has been inten ker or notwithstanding the advi my/our consent to share/provid	itionally left bla ce of in-approp	ink by me/us priateness, if a	as this transaction is executed with any, provided by the employee/rela	out any interaction or a itionship manager/sales	advice by the employee/relationship manager/sales
SIGNATURE (s)					l	
"Upfront Fee or commission shall be paid	SOLE / FIRST APPLICANT directly by the investor to the AM	FI registered Di	stributor base	SECOND APPLICANT d on the investor's assessment of var	rious factors including th	THIRD APPLICANT e service rendered by the distributor".
TRANSACTION CHARGES			l am an	Existing Investor in Mutual I	Funds	(Refer Instruction No.XIX)
In case the subscription amount is other than first time mutual fund in						mutual fund investor) or ₹100/- (for investor investor) inst the balance amount invested.
INVESTMENT TYPE (Please tic		TP/SWP		DF HOLDING (Please tick .E 📄 JOINT* 📄 EITHER OR		case of ambiguity when applicant are more than one)
EXISTING UNIT HOLDER'	S INFORMATION (Plea	se fil <u>l in your</u>	deta <u>ils me</u> r	ntioned below and proceed to	section 5)	
Folio No.						Copy of Annual Report 🗌 Yes 🗌 No
1. APPLICANT INFORMA	TION (Mandatory) то	BE FILLED IN	BLOCK LET	TTERS* APPLICANTS FROM	/I US and CANADA WI	LL NOT BE ACCEPTED (Refer Instruction No 7.)
NAME OF SOLE /1ST APPLICANT	Ms. M/s.					
AN/PEKRN	(Submit verified	copy of PAN)	CKYC No.			DOB/DOI <sup>s</sup> D D M M Y Y Y Y
Mobile No.# Mobile no. specified above belongs t		mail ID.#	Spouse	Dependent Parents De	pendent Children	Dependent Siblings Guardian POA
Email id specified above belongs to (			Spouse		pendent Children	Dependent Siblings Guardian POA
Mr. Ms. M/s.		e of Birth	D M N	Relationship with Minor/Designati       A     Y     Y     Y		
IDDRESS						
						(As per KYC Records)
STATE ST D		OFF. s	r D 0		FAX S T	PIN         Image: Constraint of the second sec
SECOND APPLICANT Mr. Ms.						
PAN/PEKRN	СКУ	C No.			Date	e of Birth D D M M Y Y Y Y
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THIRD APPLICANT Mr. Ms.						
PAN/PEKRN	СКУ	C No.			Date	e of Birth D D M M Y Y Y Y
Mobile No.#     Image: Constraint of the second secon		mail ID.#	Spouse	Dependent Parents De	pendent Children	Dependent Siblings Guardian POA
Email id specified above belongs to (	Please tick ( $\checkmark$ ) any one option	Self	Spouse	Dependent Parents De	pendent Children	Dependent Siblings Guardian POA
# SMS and/ Email ID will be used as						will be treated to have "opted out".
ACKNOWLEDGEMENT SLIP						
Received from: Mr. / Ms. / M/s						MUTUAL FUND
				Option		Receipt Date and Time
vide Cheque No	Dated _	//_	A	\mount (₹)	Drawn	
on Bank and Branch Please note: All purchases are subjec Document)	ct to realization of cheques a	nd as per app	licable load	structure (please refer Scheme	Information	

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STATUS																			
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6. INVESTMENT AND P	AYMENT DETAILS	(Pls refer Instructions	/ KIM) For each applicatior	and for each plan/option separate	cheque / DD to be submitted.
Cheque/DD No./DC Ref No.	Cheque / DD Amount (Rs.)	DD Charges (Rs.)	Gross Total Amount (Rs.)	Bank Account Number	Bank & Branch
		•		above pertain to my / our bank accou Application form without this informat	, 11
Documents Attached to avoid Thi					
IN CASE OF PAYMENT B	Y 1ST APPLICANT	(Please √)			
I/We hereby declare that the abo					
from/by debit to my personal ^^In case of Demand Draft, E	Banker's certificate about t	he source of funds is at	tached.		
5				account or direct remittance from abro	
				er para on Third Party Payment	)
The relationship of 1st Applic Parent/Grand Parent/Relation		1 , ,			Custodian on behalf of FII/Client.
Full Name of Third Party					
PAN No. of Third Party			•		Cacknowledgement & Refer instructions)
	<b>Y (POA)</b> If investm	ent is being made l	by a Constitutional Atto	rney, please submit notarised o	
POA NAME Mr. Ms.				PAN/	PEKRN
					Account held with your Depository Participant).
Do you want units in Demat			lease provide the below		
	Security Depository Li	nited (NSDL)		Central Depository Ser	rvices (India) Limited (CDSL)
Depository Participant's Nam	ne:				
DP ID No. IN	Beneficiary Accoun		Target ID N		and Scheme Information Document for details.
POA / Custodian Name:	s discretion to either allot un	its as per Demat mormat	ion of in physical mode. Kindly		KYC [Please ✓]
POA/ Custodian CKYC ID No. (KIN)			POA / Custo	odian PAN	
10. NOMINATION DET	AILS* (Mandatory)[	Refer instruction n	o. IV (under AMFI Best	Practices)]	
I/We wish to nominate as	s under:				
Sr. No. Name of Nor	ninee	PAN	Allocation Relationship (%) with Investor		Guardian Name Guardian Signature (in case of minor) (not mandatory)
1.				DD/MM/YY	
2.				DD/MM/YY	
3.				DD/MM/YY	
I/We DO NOT wish to no	ominate		1		
					We hereby confirm that I / We do not wish to ent of nominee(s) and further are aware that in
	t holder(s), my/our legal				ch competent authority, based on the value of
DECLARATION & SIGNATURES	Having read and understood t	he contents of the Stateme	nt of Additional Information / Scho	eme Information Document of the scheme fo	r investment and subsequent amendments thereto including
I/We have not received and will not rece sources and is not held or designed for t	ive nor will be induced by any he purpose of contravention of	rebate or gifts, directly or i f any Act, rules, regulations	ndirectly, in making this investme or any statute or legislation or an	nt. I/We further declare that the amount inv y other applicable laws or any notifications, o	r investment and subsequent amendments thereto including he terms and conditions, rules and regulations of the Scheme ested by me/us in the Scheme is derived through legitimate directions issued by any governmental or statutory authority und would not be responsible if the investment is ultravire making any in their investment in any of the schemer of the
from time to time. It is expressly underst thereto and the investment is contrary to Fund, recover/debit my/our folio(s) with t	ood that we have the express a the relevant constitutional do the penal interest and take any	authority from our constitut cuments. I/We authorise th appropriate action against	tional documents to invest in the is Fund to reject the application, I me/us in case the cheque(s)/paym	units of the Scheme and the AMC/Trustees/F evert the units credited, restrain me/us from pont instrument is/are returned unpaid by my	Fund would not be responsible if the investment is ultravires making any further investment in any of the schemes of the vour bankers for any reason whatsoever 1/We bereby further
agree that the Fund can directly credit all to him for the different competing Scherr	the dividend payouts and reden nes of various Mutual Funds from	mption amount to my bank m amongst which the Scher	details given above. "The ARN hole me is being recommended to me/	der has disclosed to me/us all the commission us". JM Financial Services Ltd. is affiliated to J	making any further investment in any of the schemes of the //our bankers for any reason whatsoever. //We hereby further is (in the form of trail commission or any other mode), payable M Financial Asset Management Ltd (JM Financial AMC), which
					its of the schemes launched by JM Financial AMC. Ipliance of legal obligation of JM Financial AMC/JM Financia th the Registered Investment Advisor (RIA)/Distributor whose
RIA/ARN Code is mentioned above.	t 1 td 1/We also consent to the s				
from funds in my / our* Non-Resident Ext	rm that I am / we* are Non-Res ernal / Ordinary Account / FCN	ident of Indian Nationality R Account through direct re	/ Origin and I /We* hereby confirm emittances from abroad.	n that the funds for subscription have been re	emitted from abroad through approved banking channels o
from funds in my / our* Non-Resident Ext Signature of Sole/First Applicat	rm that I am / we* are Non-Res ernal / Ordinary Account / FCN	ident of Indian Nationality R Account through direct re	/ Origin and I /We* hereby confirn	n that the funds for subscription have been re	
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from funds in my / our* Non-Resident Ext Signature of Sole/First Applicar	rm that I am / we* are Non-Res renal / Ordinary Account / FCN nt/Guardian/Auth. Signato	ident of Indian Nationality , R Account through direct r ory Signatu	/ Origin and I /We* hereby confirm emittances from abroad. Ire of Second Applicant /Aut	n that the funds for subscription have been re h. Signatory Signa	emitted from abroad through approved banking channels of ture of Third Applicant/Auth. Signatory
from funds in my / our* Non-Resident Ext Signature of Sole/First Applican Date: Note: In case the First Applicant copy. It is mandatory for investo	rm that I am / we* are Non-Res rernal / Ordinary Account / FCN nt/Guardian/Auth. Signato is a Non Individual, plea rs to be KYC compliant p	ident of Indian Nationality, R Account through direct re ory Signatu see attach FATCA, CRS prior to investing in JN	(Origin and I /We* hereby confirm emittances from abroad. Ire of Second Applicant /Aut & WBO Self Certification Fr I Financial Mutual Fund.	h that the funds for subscription have been re h. Signatory Signa Sign	emitted from abroad through approved banking channels or ture of Third Applicant/Auth. Signatory
from funds in my / our* Non-Resident Ext Signature of Sole/First Applicat Date: Note: In case the First Applicant	rm that I am / we* are Non-Res rernal / Ordinary Account / FCN nt/Guardian/Auth. Signato is a Non Individual, plea rs to be KYC compliant p iot permitted to invest in	ident of Indian Nationality, R Account through direct re ory Signatu see attach FATCA, CRS prior to investing in JN o our Schemes. ^ In ca	(Origin and I /We* hereby confirm emittances from abroad. Ire of Second Applicant /Aut & WBO Self Certification Fr I Financial Mutual Fund.	h that the funds for subscription have been re h. Signatory Signa Sign	emitted from abroad through approved banking channels of ture of Third Applicant/Auth. Signatory

Documents	Individual	Companies	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FIIs	PIO
Resolution/ Authorisation to invest		√	✓	✓		<ul> <li>✓</li> </ul>		<ul> <li>✓</li> </ul>	
List of authorised signatories with specimen signatures		√	√	√	✓	✓		√	
Memorandum & Articles of Association		✓							
Trust Deed						✓			
Byelaws			✓						
Partnership Deed				√					
Overseas Auditor Certificate								✓	
Notarised POA					✓				
Copy of PAN Card / PEKRN	✓	√	✓	✓	✓	✓	✓	✓	
KYC Compliance	✓	√	<ul> <li>✓</li> </ul>	✓	✓	✓	<ul> <li>✓</li> </ul>	<ul><li>✓</li></ul>	<ul> <li>✓</li> </ul>
PIO Card									<ul> <li>✓</li> </ul>
Foreign Inward Remittance Certificate							✓		<ul> <li>✓</li> </ul>
Aadhaar	✓								

- Please read the Statement of Additional Information (SAI) / Scheme Information Document (SID) containing the terms of offer, Scheme Additional Information, Key Information Memorandum and other relevant documents. Your attention is particularly drawn to the sections on "Prevention of Money Laundering". All applicants are deemed to have accepted the terms upon filling the application form and tendering the payment.
- 2. The application form is for Resident and Non Resident Indian (NRI) investors and should be completed in English in BLOCK Letters. Please (✓) in the appropriate box, wherever boxes have been provided.
- 3. The Signature(s) may be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Officer under his/her official seal. Applications by minor(s) should be signed by their guardians. In case of HUF, the Karta should sign on behalf of the HUF. In case of other non-individual investors, authorized signatories should sign under their official seal and designation as per their resolution.
- 4. Application form incomplete in any respect or not accompanied by the requisite documents and/or Cheque or Demand Draft (DD) or electronic funds transfer for the amount payable are liable to be rejected and the money paid will be refunded without any interest thereon. An application may be accepted or rejected at the sole and absolute discretion of the Trustees, without assigning any reason whatsoever. In case of inadvertent allotment, the AMC reserves the right to revert the transaction & refund the investment without any interest.
- 5. Investors are requested to indicate their choice of Plans / Sub-Plans/ Options/Sub-Options. If no indication in the relevant box is given, the investment will be deemed to be for the default option. If the mode of payment of Income Distribution Cum Capital Withdrawal (i.e. IDCW) is not indicated, the choice will be deemed to be reinvestment (if available). However, in case the Income Distribution Cum Capital Withdrawal payable to any unitholder is below Rs. 100/-, then the same will be automatically reinvested. The investment will be treated as if made under "Direct Plan" if an Investor fails to choose Direct or Regular Plan and also does not mention the ARN Code of the Distributor & Employee Unique Identification Number (EUIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor clearly thereon. Similarly, if the option IDCW or Growth is not indicated, the choice will be deemed as "Growth". For default options please refer the respective Scheme SID /KIM.
- 6. Mode of Payment: The Cheque/DD should be drawn in favour of the respective scheme for example in case of JM Short Duration Fund, cheque/DD should be drawn in favour of "JM Short Duration Fund" and crossed "Account Payee Only". Third Party Payments are not acceptable and the application is liable to be rejected. For further details, please refer the KIM. The Cheque/DD should be payable locally at the centre where the application is deposited. The Cheque / DD should be drawn on any Bank which is situated at and is a member / sub-member of the Bankers' Clearing House. Cheques / DDs drawn on a Bank not participating in the Clearing House will not be accepted. The application in rumber should be mentioned on the reverse of the Cheque/DD that accompanies the application. Investors residing in centres, where the JM Financial Mutual Fund does not have any collection arrangement, are authorized to make payment by DDs/RTGS/NEFT/Transfer. DD charges would be borne by the Asset Management Company (AMC) only in respect of investors having address in locations where the AMC does not have a branch / ISC (Investor Service Center) or other collection facilities subject to DD being payable and deposited at any of the collection centers of JM Financial Mutual Fund/ Registrar & Transfer Agent / Authorised Collection Banks (during NFO) subject to the following terms and conditions:

Eligibility for DD charges is as under: A - The DD charges will be payable only for equity schemes during NFO and Post-NFO. B - The DD should be issued by the bank located in the place of address of the investor. C - DD charges as levied by State Bank of India would be treated as permissible DD charges. D - For the individuals (For NFO and ongoing subscriptions) the permissible DD charges for individual investors are not restricted by the number of applications or the amount invested. E - For Non-individuals : i - During NFO: In respect of non-individual investors, during the NFO, the DD charges will be borne by the AMC as follows : 1- Only one application is eligible for benefit of DD charges. 2- Irrespective of the amount of investment, permissible DD charges will not exceed the permissible DD charges as per SBI rates and as calculated for an investment of Rs.10 lacs or actual investment whichever is lower, subject to SBI rates. 3- In case of multiple applications during the NFO period, the DD charges will be paid only for one of the applications having the highest investment amount subject to the above limit. ii-For ongoing subscriptions : 1- Only one application per business day per Scheme/Plan/Option is eligible for benefit of DD charges. 2- Irrespective of the amount of investment, permissible DD charges will not exceed the permissible DD charges as per SBI rates and as calculated for an investment of Rs.10 lacs or actual investment whichever is lower, subject to SBI rates. 3- In case of multiple applications in the same Scheme/Plan/Option, on a particular transaction day, the DD charges will be paid only for one of the applications having the highest investment amount subject to the above limits.

The AMC will, in the normal course, not reimburse the DD charges. However, the AMC reserves the right to allot equivalent units upto the permissible DD charges by adding the same to the investment made by the investor, if so claimed by the investor in the application form subject to the provisions of the scheme i.e. in multiples of permissible units. In the event that the total investment including permissible DD charges is not sufficient to allot minimum number of units in the Scheme, the AMC reserves the right to refund without any interest the amount represented by the investment made excluding DD charges borne by investor. In the event of inadvertent allotment, the AMC reserves the right to revert & reprocess the transactions without DD charges or refund the investment amount without any interest. In case of DD charges being claimed, under tax saving schemes, investor may consult his/her Tax Consultant to determine the investment amount on which tax exemption can be availed. **Note:** Returned cheques will not be presented again for collection, and the accompanying application forms shall not be considered for allotment. In the AMC accepts valid application with outstation cheque/ demand draft not payable at par at a place where the application is received, closing NAV of the day on which outstation cheque/demand draft not scheque/demand draft is credited shall be applicable.

7. For NRI Investors: Payments by NRIs / FIIs / Persons of Indian Origin (PIO) may be made by either inward remittances through normal banking channels or out of funds held in Non-Resident External Rupee Account (NRE)/ Foreign currency Non –Resident account (FCNR). In case the Indian Rupee drafts are purchased abroad or from NRE/FCNR Account, an Account debit Certificate/Foreign Inward Remittance Certificate (FIRC) from the bank issuing the draft confirming the debit shall be enclosed. Non-repatriable Basis: Payments by NRIs/FIIs/Persons of Indian Origin (PIO) may be made either by inward remittances through normal banking channels or out of funds held in NRE/FCNR/ Non- Resident Ordinary Rupee Account (NRO). In case Indian Rupee drafts are purchased abroad or from NRE/FCNR Account, an Account

debit Certificate/FIRC from the bank issuing the draft confirming the debit shall be enclosed. For Subscription made by NRE/FCNR/NRO Account cheque, the application form must be accompanied with photocopy of the cheque/FIRC/Account debit certificate from the bankers to avoid delay in payment of redemption/Income Distribution Cum Capital Withdrawal proceeds.

# NRI / PIO investors residing in US or Canada are not permitted to invest.

In case, any such investment is accepted inadvertently or in the absence of the residence status, the same will be rejected/refunded/redeemed immediately upon detecting such error.

8. Statutory Details: As per SEBI Circular nos. IIMARP/ MF/CIR/07/826/98 dated April 15, 1998, and IMD/CIR/No. 6/4213/ 04 dated March 1, 2004, it is mandatory for applicants to mention their bank details in their applications for purchase or redemption of units. In accordance with Circular dated April 27, 2007 issued by the Securities and Exchange Board of India ("SEBI"), Permanent Account Number ("PAN") issued by the Income Tax authorities is being used as the sole identification number for all investors (existing and prospective) transacting in the securities market, including mutual funds, irrespective of the amount of transaction, with effect from July 02, 2007. With effect from January 1, 2008, it is mandatory for all existing and prospective investors (including joint holders, guardians of minors, NRIs etc.) to enclose a verified copy of PAN proof along with the application for any transaction in the schemes of JM Financial Mutual Fund. However, submission of PAN copy has been exempted for Micro SIP investors.

The verification of the PAN from the original PAN card/ letter can be done by any of the following under his/her signature, rubber stamp and date

- any ARN holder if the PAN proof is self attested by Investor
- Bank Manager,
- Notary,

Officials of JM Financial Mutual Fund/ Investor Service Centres of KFin Technologies Private Limited.

Investors transacting through approved Web Portals are also required to get their PAN verified by their Web Portals.

In case, the investor does not conform to the above requirement of submission of verified copy of PAN or produces original PAN proof for verification or the PAN details as per furnished verified copy of PAN proof does not match with the Website of Income Tax Deptt. as prescribed by SEBI, the AMC reserves the right to reject the application before allotment and refund the investment amount, without any interest.

In case of inadvertent allotment, the AMC reserves the right to refund the investment amount, without any interest.

All investors who wish to make an investment in a mutual fund scheme will be required to complete the KYC process through any SEBI registered KYC Registration Agency (i.e. KRA).

- 9. Documents required: In case of an application under Power of Attorney or by a Limited Company, Body Corporate, Registered Society, HUF, Trust or Partnership Firm, etc. the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / Bye-laws / HUF Deed / Trust Deed / Partnership Deed etc. must be lodged alongwith the application form. The copy of the KYC certificate must be lodged along with a application form.
- Systematic Investment / Transfer / Withdrawal Plan (SIP/STP/SWP): Please refer the SID/KIM for details.
- 11. Applicants should indicate their status/category by ticking the appropriate box. Applications without a tick in the 'Status/ Category' box will be considered as investment by "Others" and applicable tax if any, will be deducted / payable.

Politically Exposed Persons (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials.

- 12. Applicants should specify the mode of holding. In case of joint holders, the first named holder shall receive all the Account Statements, Income Distribution Cum Capital Withdrawal (IDCW)/ redemption/refund warrants and any other correspondence sent from time to time. In case of more than one investor, where the mode of holding is not specified, it would be treated as joint holding.
- 13. Duly completed application forms alongwith the payment instrument and other relevant documents must be submitted on any business day at any of the JM Financial MF Branches/ KFintech ISCs . The addresses of JM Financial MF ISCs / KFintech ISCs are provided in the Key Information Memorandum.
- 14. No receipt will be issued for the application money. The ISCs will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.

#### 15. Mobile / E-mail Communication

Unitholders can obtain financial and non-financial information about their transactions eg. sale, purchase, Income Distribution Cum Capital Withdrawal declarations, etc. through "SMS Alerts." This facility is offered free of cost to all unitholders whose mobile numbers are registered with Fund / who register themselves for the facility by writing to the Registrar and Transfer Agent, mentioning their folio numbers and mobile numbers. Account Statements / Annual Reports, etc. can be sent to each Unit holder by courier / post / e-mail. In case, an investor has provided his/her e-mail ID in the application form or any subsequent communication, in any of the folio(s) belonging to him/her, the Asset Management Company ("AMC") reserves the right to use such e-mail ID as a default mode of communication to the investor including sending of account statements/CAS (Consolidated Acount Statement) / Annual Report for the new and existing investments for folio(s)/ investor(s) concerned. However, the AMC or Registrar & Transfer Agent will, on receipt of specific request, endeavour to provide the physical account statement to the investor within 5 business days from the receipt of such request, in terms of SEBI circular No. IMD/ CIR/12/80083/2006 dated November 20, 2006, on a case to case basis. Unit holders who have provided the e-mail will be required to download and print the documents after receiving e-mail from the Mutual Fund. Should the Unit holder experience any difficulty in accessing the electronically delivered documents / SMS alerts, the Unit holder shall promptly advise the Mutual Fund to enable the Mutual Fund to make the delivery through alternate means. In case of non-receipt of any such intimation of difficulty within 24 hours from receiving the e-mail / SMS alert, it will be regarded as receipt of e-mail / SMS alert by the Unit holder. It is deemed that the Unit holder is aware of all security risks including possible third party interception of SMS alert / e-mail and contents of the SMS alerts / documents becoming known to third parties. The monthly / quarterly factsheets shall be displayed at the website of the Mutual Fund. The Unit holders can request for a copy of the Newsletter/Fact Sheet by post / e-mail. The AMC would arrange to dispatch these documents to the Unit holder concerned.

#### 16. Redemption / Income Distribution Cum Capital Withdrawal payout mechanism :

It is mandatory to furnish bank particulars of first applicant as per SEBI guidelines, failing which application shall be rejected. The application has to be accompanied with the documentary proof of the bank mandate depicting the name of the 1st / sole applicant. The redemption/ Income Distribution Cum Capital Withdrawal proceeds will be either paid through physical payment instrument (eg. cheque / payorder /demand draft etc.) / the AMC will credit the investor's account if the bank mandate registered for the redemption / Income Distribution Cum Capital Withdrawal payout is in any of the banks with which the AMC has direct credit facility The AMC may also effect the credit through /RTGS/NEFT (wherever possible) subject to the availability of MICR/IFSC code and complete bank details.

#### 17. Transaction Charges

AMC will deduct the following transaction charges if the Broker/Distributor has opted-in for such charges at Product Level as per the choice of Broker/Distributor.

## (i) First Time Mutual Fund Investor (across Mutual Funds):

Transaction charge of Rs. 150/- for subscription of Rs. 10,000 and above will be deducted from the subscription amount and paid to the distributor/ agent of the first time investor and the balance shall be invested.

### (ii) Investor other than First Time Mutual Fund Investor:

Transaction charge of Rs. 100/- per subscription of Rs. 10,000 and above will be deducted from the subscription amount and paid to the distributor/ agent of the investor and the balance shall be invested.

# (iii) Transaction Charges:

(Rs. 150/- or Rs. 100/- as may be applicable) in case of investments through Systematic Investment Plan (SIP) shall be deducted only if the total commitment (i.e. amount per SIP installment x No. of installments) amounts to Rs. 10,000/- or more. The Transaction Charges shall be deducted in 4 installments. Investors may note that distributors have an option to opt in or opt out of charging the transaction charge.

## (iv) Transaction charges shall not be deducted for:

(a) Purchases /subscriptions for an amount less than Rs. 10,000/-;

(b) Transaction other than purchases/ subscriptions relating to new inflows, such as Switch, STP, etc.

(c) Purchases/ Subscriptions made directly with the Fund (i.e. not through any distributor/agent).

(d) Transactions, wherein the concerned distributor has not opted-in for transaction charges.

(e) Transactions done for units held in demat form.

It is also clarified that minimum investment criteria shall be monitored at the gross investment amount level (i.e. amount before deducting transaction charges).

### DIRECT PLAN

The investor has the option to invest under the Direct Plan of our open-ended Schemes to avail the benefit of lower expense ratio for which Investor must clearly write the word "Direct" under the column "Plan" alongwith Scheme and Option name in the specified place.

For detailed information, Investor may refer to the SID/KIM.

**18.** In case, the Country of Tax Residence is only India then the details of Country of Birth & Nationality need not be provided. In case the Tax Identification Number is not available, kindly provide its functional equivalent.

## AMFI BEST PRACTICE

# In terms of Best Practice Circular no. 20/2010-11 dated February 9, 2011, following provisions are applicable w.e.f. April 1, 2011:

- 1. "On Behalf of Minor" Accounts: Where the account/folio (account) is opened on behalf of a minor:
- (a) The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- (b) The Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Information on the relationship/status of the guardian as father, mother or legal guardian should be provided to the AMC/ the Registrar of JM Financial Mutual Fund ("the Registrar"). If the documents mentioned in clause (c) below do not provide information evidencing the relationship of natural guardian to the minor, separate documents establishing the relationship should be provided. In case of court appointed legal guardian, supporting documentary evidence should be submitted.
- (c) Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
  - 1. Birth certificate of the minor, or
  - School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
  - 3. Passport of the minor, or
  - 4. Any other suitable proof evidencing the date of birth of the minor.

## 2. Minor Attaining Majority – Status Change:

- (a) Prior to minor attaining majority, the AMC/ Registrar will send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents (as per (e) below) to change the status of the account to "major".
- (b) The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no transactions shall be permitted till the documents for changing the staus are received. However, the AMC will continue to process the existing standing instructions like SIP, STP, SWP registered prior to the minor attaining majority and send a intimation to that effect.
- (c) In case of existing standing instructions including STP, SIP and SWP registered prior to the minor attaining majority, the AMC will send an advance notice to the registered correspondence address advising the guardian and the minor that the existing standing instructions will continue to be processed beyond the date of the minor attaining majority till the time an instruction from the major to terminate the standing instruction is received by the mutual fund along with the below mentioned documents:
  The change instruction shall be terminated within 20 days from the date of experiments the instruction.

The standing instruction shall be terminated within 30 days from the date of receiving the instruction.

- (d) List of standard documents required to change the account status from minor to major:
  - 1. Services Request form, duly filled and containing details like name of major, folio numbers, etc.
  - 2. New Bank mandate where account has been changed from minor to major,
  - 3. Signature attestation of the major by a manager of a scheduled bank / Bank Certificate / Letter,
  - 4. KYC acknowledgement of the major.
- 3. Change in Guardian: When there is a change in guardian either due to mutual consent or demise of existing guardian, following documents should be submitted to the AMC/ the Registrar prior to registering the new guardian:
- (a) Request letter from the new guardian,
- (b) No Objection Letter (NoC) or Consent Letter from existing guardian or Court Order for new guardian, in case the existing guardian is alive.
- (c) Notarized copy or attested copy of the Death Certificate of the deceased guardian, where applicable. The attestation may also be done by a special executive magistrate, AMC authorised official or manager of a scheduled bank.
- (d) The new guardian must be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
  - 1. Information on the relationship/status of the guardian as father, mother or legal guardian should be specified in the application form.
  - 2. In case of natural guardian, a document evidencing the relationship if the same is not available as part of the documents submitted as per sub clause c above.
  - 3. In case of court appointed legal guardian, supporting documentary evidence should be submitted.
- (e) Bank attestation attesting the signature of the new guardian in a bank account of the minor where the new guardian is registered as the guardian.

# (f) KYC of the new guardian.

# 4. Nomination facility

- (a) Nomination shall be maintained at the folio or account level and shall be applicable for investments in all schemes in the folio or account.
- (b) Where a folio has joint holders, all joint holders shall sign the request for nomination option/Opt out/cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of attorney (PoA) holders.
- (c) Every new nomination for a folio/account will overwrite the existing nomination.
- (d) Nomination is mandatory for folios/accounts opened by individual. Investors who do not wish to nominate must mandatorily select the opt-out option in nomination section.
- (e) Nomination will not allowed in a folio held on behalf of a minor.
- 5. <u>Transmission</u>: Please refer SID for further details.

## 6. Additional risk mitigation measures:

While the list of mandatory documents mentioned above shall be taken in all cases, the AMC/ the Registrar may seek additional mandatory documents if the amount involved in transmission exceeds Rs One Lakh on a case to case basis. The AMC/ the Registrar may also ask additional mandatory document depending on circumstances of each case.

## 7. AADHAAR Linking (Mandatory):

As per extant guidelines from Government of India, all Mutual Fund investors are required to link their PAN with Aadhar no. latest by March 31, 2023.

Online Facility for Linking Aadhaar: Alternatively, investors can use online / sms facility on our RTA's website www.kfintechmfs.com to link their Aadhaar numbers.

# **Declaration Form of Non-Profit Organization (NPO)**



I/We hereby confirm that above stated entity / organization is falling under "Non-profit organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

□ Enclosed relevant documentary proof evidencing the above definition.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

gistration Number of DARPAN porta
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If not, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.

□ I/We hereby confirm that the above stated entity / organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

# Signature with relevant seal:

Authorized Signatory	Authorized Signatory	Authorized Signatory

Place:

Date: \_\_\_\_/ \_\_\_/\_\_\_\_.

# **SIP ENROLLMENT CUM ONE TIME DEBIT MANDATE FORM** (New Investors subscribing to the scheme through SIP must submit this form along with Common Application Form) (all points marked \* are mandatory)



MUTUAL FUND

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# INSTRUCTIONS TO INVESTORS FOR FILLING UP THE SYSTEMATIC INVESTMENT PLAN (SIP) FORM

- 1. Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 Business days before the first SIP Installment date.
- 2. Investor shall have the option of choosing any date of the month as the SIP date. If SIP date is not mentioned, default date would be considered as 5th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day.
- 3. Default Option for SIP The Investor is required to furnish all the stipulated details in the Application, SIP Mandate, NACH Forms etc. However, in case, any Investor fails to mention the "start date" and/ or "end date" for the SIP Instalment, the NACH application may be rejected by the Bank. Further, If Investor fails to mention the "start date" the default date is 5th of the subsequent month, after completing 30 days from the date of registration of SIP. The maximum end period for any New SIPs registered through the OTMs will be up to 30 years in line with the OTM or the maximum period of the SIP is not more than 30 years.

4. Please refer below table for minimum SIP amounts and minimum SIP instalments:

Frequency under SIP Facility	Minimum amount	Minimum number of installments
	Rs. 100 and in multiples of Re.1/- thereafter for All Open-Ended Schemes except JM ELSS Tax Saver Fund	
Daily	Rs. 500 and in multiples of Rs. 500 only for JM ELSS Tax Saver Fund	- 30 Installments
Washla	Rs. 100 and in multiples of Re.1/- thereafter	24 la stellar este
Weekly	Rs. 500 and in multiples of Rs. 500 only for JM ELSS Tax Saver Fund	– 24 Installments
F 1.0	Rs. 100 and in multiples of Re.1/- thereafter	
Fortnightly	Rs. 500 and in multiples of Rs. 500 only for JM ELSS Tax Saver Fund	- 12 Installments
	Rs. 100 and in multiples of Re.1/- thereafter	
Monthly	Rs. 500 and in multiples of Rs. 500 only for JM ELSS Tax Saver Fund	– 12 Installments
	Rs. 250 and in multiples of Re.1/- thereafter	
Quarterly	Rs. 500 and in multiples of Rs. 500 only for JM ELSS Tax Saver Fund	4 Installments

5. If no amount is mentioned in the NACH application minimum SIP instalment amount would be considered.

6. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.

7. The SIP will be discontinued automatically if payment is not received for three successive instalments.

Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar KFin Technologies Limited. Notice of such discontinuance should be received at least 20 days prior to the due date of the next installment / debit. Further, same can be stopped through our website through investor portal.

9. Mandate will be processed through NACH platform offered by NPCI.

- As per SEBI circular dated August 22, 2011, Transaction Charge on commitment of Rs. 10,000/- and above shall be charged from the investors and shall be payable to the distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscription / new inflows only (lumpsum and SIP), subject to the following:

   For Existing / New investors: Rs.100 / Rs.150 as applicable on commitment of Rs.10,000/– and above.
  - Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to Rs. 10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
     There shall be no transaction charge on commitment below Rs. 10.000/-.

• There shall be no transaction charges on direct investments.

• There shall be no transaction charges for transaction other than purchases/ subscriptions relating to new inflows such as Switches, etc.

• Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges.

The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount. However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

- 11. Investor will not hold JM Financial Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. JM Financial Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or NACH/ Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
- 12. If date selected by the investor is prior to the 21 Business days, the SIP will be start from next available date.
- 13. As per the latest circular number NPCI/2023-24/NACH/008 dated August 18,2023 from NPCI, the maximum end period for any New SIPs registered through the OTMs will be up to 30 years in line with the OTM or the maximum period of the SIP is not more than 30 years, with effect from October 01, 2023. Existing SIPs will not get impacted on the above conditions.

**>**2

14. Maximum limit of 1 Crore for Physical OTM/ E-mandate/ E-Sign with effect from October 01, 2023.

15. If investor did not mention the frequency the default frequency will Monthly.

# **TOP-UP & MULTIPLE SIP REGISTRATION FORM**

(For first time investors, Kindly submit this form along with Common Application form)



Trustee Company: JM Financial Trustee Company Private Limited | Investment Manager: JM Financial Asset Management Limited. Corporate Office: Office B, 8th Floor, Cnergy, Appasaheb Marathe Marg, Prabhadevi, Mumbai - 400 025.Tel. No.: 022-6198 7777. Fax Nos.: 022- 6198 7704/3379 7704. E-Mail: Investor@Jmfl.com, Website: Www.jmfinancialmf.com

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• This is to confirm that the declaration has been carefully read, understood & made by me/us. I am/We are authorizing the user entity / corporate to debit my/our account.

• I/We have understood that I am/we are authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate or the bank where I/We have authorized the debit.

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4. Please ref	Minimum Amount	Minimum Number of	Minimum SIP instalments Minimum Discontinuation Notice	Auto Cancellation Condition	12. 13.	on the website name printed	e or at any Investo on it.	r Service Centre	along with cancelle	d cheque of the	DATE - FOR SIP" form available e new bank with the investor's predefined intervals by a fixed
Facility	Rs. 100 and in multiples	installments	period from Investor			amount or any facilities, inves	time as per the r tors are required t	equest. This faci o note the follo	ility is available for in wing:	ndividual inves	tors only. For availing the said
Weekly Fortnightly	of Re.1/- thereafter Rs. 100 and in multiples	24 Installments	15 Calendar days for physical request and 7	In case, 3 consecutive instalments fail due to	:	The minimum	amount for JM Fir	iancial Mutual F	und TOP-UP facility i	s Rs. 100/- and	e SIP enrolment details. in multiples of Rs. 100/- for all Itiples of Rs. 500 thereafter.
Monthly	of Re.1/- thereafter Rs. 100 and in multiples	12 Installments	calendar days for the requests received on	insufficient funds	•	amount would	s mentioned as TO be considered, i.e ncies available are	., Rs. 500/- for a	all schemes.	terly, half yearly	v and yearly, minimum TOP-UP
	of Re.1/- thereafter Rs. 250 and in multiples	4 Installments	Online Portals.	In case, 3 consecutive instalments fail due to	•	In case TOP-UP TOP-UP will co	frequency is not i ntinue till the End	ndicated, it will of the SIP tenu	be considered as Yea re by default.		
Quarterly Note: For all sche	of Re.1/- thereafter emes, minimum amount		e and thereafter in multiple	insufficient funds	•	and register fre	esh SIP.		o amount, he/she mu during the SIP tenure		ncellation for the existing SIP
	amount is as per above tal unt is mentioned NACH ar		multiple of Rs. 500*. SIP installment amount we	ould be considered.	•	Please see the	illustration below	to know how to	o calculate SIP Top-U	p amount:	
6. For details		s facility please refe		espective schemes / Addendum		SIP			2028 ; Monthly SI 00/- ; Top-Up Freq		t: Rs. 2000/-
7. The SIP w	ill be discontinued autom	atically if payment i	s not received for three succ	essive instalments. fficial Point of Acceptance or to	In	stallment No(s		To Date	1		SIP Top-Up Amount
the regist	rar KFin Technologies Lim	ited. Notice of such	discontinuance should be r	eceived at least 21 days prior to		1 to 12	10-Jan-23	10-Dec-2			NA
portal.				h our website through investor		13 to 24	10-Jan-24	10-Dec-24	_		1000
10. As per SE		2, 2011, Transaction	h Charge per commitment	of Rs. 10,000/- and above shall	-	25 to 36 37 to 48	10-Jan-25 10-Jan-26	10-Dec-25	_		1000 1000
be charge	d from the investors and s	shall be payable to t	he distributors/ brokers (wl	no have not opted out of charg- /broker relating to Purchases /		49 to 60	10-Jan-27	10-Dec-20			1000
subscripti	ons / new inflows only (lu	impsum and SIP), su	bject to the following:		14.	Once the Top-ı	up cap amount rea	ched the upper	limit, the Top-up wi		ied. However, SIP will
<ul> <li>Transaction and above</li> </ul>	on charge for SIP shall be	applicable only if th ction charge would	be recovered in maximum	gh SIP amounts to Rs. 10,000/-	15.	As per the late	st circular numbe	r NPCI/2023-24		ugust 18,2023	from NPCI, the maximum line with the OTM or the

- .
- There shall be no transaction charge on commitment below Rs. 10,000/-. There shall be no transaction charge on commitment below Rs. 10,000/-. There shall be no transaction charges on direct investments. There shall be no transaction charges for transaction other than purchases/ subscriptions relating to new inflows such as Switches, etc. Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction to be a subject to transaction.
- charges.
- end period for any New SIPS registered through the UIMS will be up to 30 years in line with the UIM or the maximum period of the SIP is not more than 30 years, with effect from October 01, 2023. Existing SIPs will not get impacted on the above conditions.
- Maximum limit of 1 Crore for Physical OTM/ E-mandate/ E-Sign with effect from October 01, 2023. 16.
- 17. If investor did not mention the frequency the default frequency will Monthly.

# REGISTRATION SYSTEMATIC WITHDRAWAL PLAN (SWP) FORM SYSTEMATIC TRANSFER PLAN (STP) FORM



MUTUAL FUND

					INDUIUN INFU	MATION			ſ	
Distributor Co	de	Sub-Broke	r Code	Sub-Bro	ker Code	Employ	ee Unique	E-Code		RIA CODE^
ARN -		ARN -		INTERN	AL CODE	IDENTIFICA	TION NO. (EUIN)			ONLY FOR DIRECT INVESTMENT
*Investors should mention t by me/us as this transactior provided by the employee/r	n is executed	without any interacti	on or advice	by the employee/relat	fund will assume follow ionship manager/sale:	ving declarations person of the	n by the investor "I above distributor/	/We hereby confirm tha sub broker or notwithst	at the andin	EUIN box has been intentionally left blank g the advice of in-appropriateness, if any,
Upfront commission shall	ll be paid d	irectly by the invest	stor to the A	AMFI registered Dist	ributors based on tl	e investors'	assessment of va	rious factors includir	ng the	e service rendered by the distributor.
For Direct investments, plea										
^I/We, have invested in the of this particular transaction							onsent to share/pro	vide the transaction da	ta fee	d / portfolio holdings / NAV etc. in respect
								•		
Signature	of Sole/First	Applicant/Guardian			Signature of Second	Applicant	4	Sig	gnatui	re of Third Applicant
<b>EXISTING UNIT HOL</b>	LDER'S IN	FORMATION (Ple	ease fill in your	r details mentioned belo	w)					
Folio No.										
1. APPLICANT'S DE	<b>TAILS</b> (Itisr	nandatory to submit ver	ified copy of F	PAN proof for all investme	ents failing which applica	tion will be reje	cted)			
Name (Capital Letters)									DOE	
Name of Guardian (if fi	rst applicant	is a minor / <b>Contact</b>	Person for n	ion individuals)						(Mandatory in case of minor)
Guardian's Relationshi				,	Proof	of Date of Bi	r <b>th</b> O Birth Cer	tificate O Passport	0 0	Others (Please specify)
1st Applicant PAN					1					
2.1 STP Details										
I/We hereby apply for the follow	ving facility (Pl	tick only one from each	column)							
Facility (Please ✓)		,		ne /s (Please Mentio	n)	Pla	ın (Please √)	Option (Pls mentio	n) /	Sub-Option (Please √ in case of IDCW)
racincy (riedse + )	From - <b>JM</b>		i die Schein	ic / J (i icuse mention	•,		ular O Direct	option (i binchio		O Payout O Reinvestment
STP	T0 - <b>JM</b>						ular O Direct			O Payout O Reinvestment
Facility (Please √)		aily (Please √ )	We	eekly (Please √ )	Fortnight	/ (Please √ )	M	onthly* (Please √ )		Quarterly (Please √)
STP		ota STP/Combo SIP)	"Day Mon	" nday to Friday	D D M M Y any day of the	Y Y Y	D D any	M M Y Y Y Y day of the month		D D M M Y Y Y Y any day of the month
Installment Amount	Rs.			Enrolement Period	From		То		0	or <b>Perpetual</b> (i.e until it is cancelled)
* Fifth of the month will be the	default freque	ncy if not ticked.	[							-
2.2 SWP Details		•								
Facility	/ (Please √)		Nam	e of the Scheme /s (I	Please Mention)	Pla	n (Please √)	Option (Pls mentio	n) !	Sub-Option (Please √ in case of IDCW)
O FAW (Fixe						O Rea	ular O Direct		-	O Payout O Reinvestment
I SWP -	pital Appreci	ation Withdrawal)		o facility being choose	nburgu					
Facility (Please		Daily		Weekly	Fortnic	htly	Mont	hly* (Please √ )		Quarterly (Please √ )
SWP	-	Not Availa	ble	Not Available	Not Ava	lable	O 1st O 5t O 20th O 25	n O 10th O 15th th of the month	1	O 1st of next month & every quarter thereafter
Installment Amount	Rs.			Enrolement Period	From	I	То		0	or <b>Perpetual</b> (i.e until it is cancelled)
* Fifth of the month will be the	default freque	ncy if not ticked.								
3. Declaration										
										I/We hereby apply to the Trustee of JM Financial I by any rebate or gifts, directly or indirectly, in
making this investment. I/We fu	urther declare	that the amount investe	d by me/us in t	the Scheme is derived thro	ough legitimate sources a	nd is not held or	designed for the purp	ose of contravention of any	y act, ri	ules, regulations or any statute or legislation or
										I documents to invest in the units of the Scheme n, revert the units credited, restrain me/us from
										nt is/are returned unpaid by my/our bankers for us all the commissions (in the form of trail
commission or any other mo	ode), payable	to him for the differe	nt competing	g Schemes of various N	lutual Funds from amo	ngst which the	Scheme is being re	commended to me/us"	JM Fir	nancial Services Ltd. is affiliated to JM Financial
										the mutual fund units of the schemes launched unds from amongst which the Scheme is being
recommended to me/us". Conse	ent for sharir	ig Information :- I /We	e hereby conser	nt to the disclosure/sharin	g of my/our personal info	rmation to the J	udicial /Statutory/ Re	gulatory Authorities for th	e comp	pliance of legal obligation of JM Financial AMC/
										nvestment Advisor (RIA)/Distributor whose RIA/ emitted from abroad through approved banking
channels or from funds in my / o										

# Systematic Transfer Plan /Systematic Withdrawal Plan

- 1. All valid requests for STP/SWP forms from one Scheme to the other Scheme would be treated as switch-out/redemption & switch-in/purchase at the applicable NAV of the respective Scheme(s). The difference between the NAV of two Schemes will be reflected in the number of units allotted.
- 2. Minimum Investment Amount in Transfer Out Scheme should be as per the respective SID/ Addendum.
- 3. Units marked under Lien, Pledge or Lock-in Period in the Transfer Out Scheme will not be eligible for STP/SWP.
- 4. All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective Scheme SID.
- 5. This form should be submitted at least 5 business days before the commencement date.
- 6. A Unit holder who has opted for STP/SWP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request
- 7. The Unit holder can make additional subscriptions in accordance to the terms and conditions of the Scheme Information Document (SID). Such additional subscription will alter the functioning of the STP/SWP.
- 8. STP/SWP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.
- 9. The unit holders can choose to opt out from the STP/SWP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation should be received at least 10 working days prior to the next due date of the SWP/STP.
- 10. JM AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SWP/STP.
- 11. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing Account.
- 12. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 13. STP/SWP facility is not available for units held in demat. Unit Holders opting the units in the demat mode, can submit redemption only through DP or through stock exchange platform
- 14. STP will terminate automatically if all units are liquidated or withdrawn from the account or upon the funds receipt of notification of death or incapacity of unit holder.
- 15. Further, in case where the balance amount in a folio is less than the STP amount, the entire amount will be transferred to the transferee scheme.
- 16. In case where the balance amount in a folio is less than the SWP amount, the entire amount will be redeemed and the payout will be released to investor's registered bank account .
- 17. STP will cease if there are 3 consecutive failures.
- 18. Default start date will be consider as fifth of the month if not mentioned.

# Employee Unique Identification Number (EUIN):

SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of Mutual Fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank.

In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIN of the Sales Person (if any) in the EUIN space.